**Vaclav Havel
"The Power of the Powerless"
(1978)**

**Excerpts from the**[**Original Electronic Text**](http://www.humanities.uci.edu/~rmoeller/EasternEurope/power_of_powerless.htm)**provided by**[**Bob Moeller**](http://www.humanities.uci.edu/~rmoeller/body/bio-info.html)**, of the University of California, Irvine.**

{1}A SPECTER is haunting Eastern Europe: the specter of what in the West is called "dissent" This specter has not appeared out of thin air. It is a natural and inevitable consequence of the present historical phase of the system it is haunting. It was born at a time when this system, for a thousand reasons, can no longer base itself on the unadulterated, brutal, and arbitrary application of power, eliminating all expressions of nonconformity. What is more, the system has become so ossified politically that there is practically no way for such nonconformity to be implemented within its official structures. . . .

{2}Our system is most frequently characterized as a dictatorship or, more precisely, as the dictatorship of a political bureaucracy over a society which has undergone economic and social leveling. I am afraid that the term "dictatorship," regardless of how intelligible it may otherwise be, tends to obscure rather than clarify the real nature of power in this system. . . Even though our dictatorship has long since alienated itself completely from the social movements that give birth to it, the authenticity of these movements (and I am thinking of the proletarian and socialist movements of the nineteenth century) gives it undeniable historicity. These origins provided a solid foundation of sorts on which it could build until it became the utterly new social and political reality it is today, which has become so inextricably a part of the structure of the modern world. . . . It commands an incomparably more precise, logically structured, generally comprehensible and, in essence, extremely flexible ideology that, in its elaborateness and completeness, is almost a secularized religion. It offers a ready answer to any question whatsoever; it can scarcely be accepted only in part, and accepting it has profound implications for human life. In an era when metaphysical and existential certainties are in a state of crisis, when people are being uprooted and alienated and are losing their sense of what this world means, this ideology inevitably has a certain hypnotic charm. . . .

The profound difference between our system-in terms of the nature of power-and what we traditionally understand by dictatorship, a difference I hope is clear even from this quite superficial comparison, has caused me to search for some term appropriate for our system, purely for the purposes of this essay. If I refer to it henceforth as a "post-totalitarian" system, I am fully aware that this is perhaps not the most precise term, but I am unable to think of a better one. I do not wish to imply by the prefix "post" that the system is no longer totalitarian; on the contrary, I mean that it is totalitarian in a way fundamentally different from classical dictatorships, different from totalitarianism as we usually understand it.

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{3}The manager of a fruit-and-vegetable shop places in his window, among the onions and carrots, the slogan: "Workers of the world, unite!" Why does he do it? What is he trying to communicate to the world? Is he genuinely enthusiastic about the idea of unity among the workers of the world? Is his enthusiasm so great that he feels an irrepressible impulse to acquaint the public with his ideals? Has he really given more than a moment's thought to how such a unification might occur and what it would mean?

I think it can safely be assumed that the overwhelming majority of shopkeepers never think about the slogans they put in their windows, nor do they use them to express their real opinions. That poster was delivered to our greengrocer from the enterprise headquarters along with the onions and carrots. He put them all into the window simply because it has been done that way for years, because everyone does it, and because that is the way it has to be. If he were to refuse, there could be trouble. He could be reproached for not having the proper decoration in his window; someone might even accuse him of disloyalty. He does it because these things must be done if one is to get along in life. It is one of the thousands of details that guarantee him a relatively tranquil life "in harmony with society," as they say.

Obviously the greengrocer . . . does not put the slogan in his window from any personal desire to acquaint the public with the ideal it expresses. This, of course, does not mean that his action has no motive or significance at all, or that the slogan communicates nothing to anyone. The slogan is really a sign, and as such it contains a subliminal but very definite message. Verbally, it might be expressed this way: "I, the greengrocer XY, live here and I know what I must do. I behave in the manner expected of me. I can be depended upon and am beyond reproach. I am obedient and therefore I have the right to be left in peace." This message, of course, has an addressee: it is directed above, to the greengrocer's superior, and at the same time it is a shield that protects the greengrocer from potential informers. The slogan's real meaning, therefore, is rooted firmly in the greengrocer's existence. It reflects his vital interests. But what are those vital interests?

Let us take note: if the greengrocer had been instructed to display the slogan "I am afraid and therefore unquestioningly obedient;' he would not be nearly as indifferent to its semantics, even though the statement would reflect the truth. The greengrocer would be embarrassed and ashamed to put such an unequivocal statement of his own degradation in the shop window, and quite naturally so, for he is a human being and thus has a sense of his own dignity. To overcome this complication, his expression of loyalty must take the form of a sign which, at least on its textual surface, indicates a level of disinterested conviction. It must allow the greengrocer to say, "What's wrong with the workers of the world uniting?" Thus the sign helps the greengrocer to conceal from himself the low foundations of his obedience, at the same time concealing the low foundations of power. It hides them behind the facade of something high. And that something is ideology.

Ideology is a specious way of relating to the world. It offers human beings the illusion of an identity, of dignity, and of morality while making it easier for them to part with them. As the repository of something suprapersonal and objective, it enables people to deceive their conscience and conceal their true position and their inglorious modus vivendi, both from the world and from themselves. It is a very pragmatic but, at the same time, an apparently dignified way of legitimizing what is above, below, and on either side. It is directed toward people and toward God. It is a veil behind which human beings can hide their own fallen existence, their trivialization, and their adaptation to the status quo. It is an excuse that everyone can use, from the greengrocer, who conceals his fear of losing his job behind an alleged interest in the unification of the workers of the world, to the highest functionary, whose interest in staying in power can be cloaked in phrases about service to the working class. The primary excusatory function of ideology, therefore, is to provide people, both as victims and pillars of the post-totalitarian system, with the illusion that the system is in harmony with the human order and the order of the universe. . . .

{4}The post-totalitarian system touches people at every step, but it does so with its ideological gloves on. This is why life in the system is so thoroughly permeated with hypocrisy and lies: government by bureaucracy is called popular government; the working class is enslaved in the name of the working class; the complete degradation of the individual is presented as his ultimate liberation; depriving people of information is called making it available; the use of power to manipulate is called the public control of power, and the arbitrary abuse of power is called observing the legal code; the repression of culture is called its development; the expansion of imperial influence is presented as support for the oppressed; the lack of free expression becomes the highest form of freedom; farcical elections become the highest form of democracy; banning independent thought becomes the most scientific of world views; military occupation becomes fraternal assistance. Because the regime is captive to its own lies, it must falsify everything. It falsifies the past. It falsifies the present, and it falsifies the future. It falsifies statistics. It pretends not to possess an omnipotent and unprincipled police apparatus. It pretends to respect human rights. It pretends to persecute no one. It pretends to fear nothing. It pretends to pretend nothing.

{4}Individuals need not believe all these mystifications, but they must behave as though they did, or they must at least tolerate them in silence, or get along well with those who work with them. For this reason, however, they must live within a lie. They need not accept the lie. It is enough for them to have accepted their life with it and in it. For by this very fact, individuals confirm the system, fulfill the system, make the system, are the system.

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{6}Why in fact did our greengrocer have to put his loyalty on display in the shop window? Had he not already displayed it sufficiently in various internal or semipublic ways? At trade union meetings, after all, he had always voted as he should. He had always taken part in various competitions. He voted in elections like a good citizen. He had even signed the "antiCharter." Why, on top of all that, should he have to declare his loyalty publicly? After all, the people who walk past his window will certainly not stop to read that, in the greengrocer's opinion, the workers of the world ought to unite. The fact of the matter is, they don't read the slogan at all, and it can be fairly assumed they don't even see it. If you were to ask a woman who had stopped in front of his shop what she saw in the window, she could certainly tell whether or not they had tomatoes today, but it is highly unlikely that she noticed the slogan at all, let alone what it said.

It seems senseless to require the greengrocer to declare his loyalty publicly. But it makes sense nevertheless. People ignore his slogan, but they do so because such slogans are also found in other shop windows, on lampposts, bulletin boards, in apartment windows, and on buildings; they are everywhere, in fact. They form part of the panorama of everyday life. Of course, while they ignore the details, people are very aware of that panorama as a whole. And what else is the greengrocer's slogan but a small component in that huge backdrop to daily life?

The greengrocer had to put the slogan in his window, therefore, not in the hope that someone might read it or be persuaded by it, but to contribute, along with thousands of other slogans, to the panorama that everyone is very much aware of. This panorama, of course, has a subliminal meaning as well: it reminds people where they are living and what is expected of them. It tells them what everyone else is doing, and indicates to them what they must do as well, if they don't want to be excluded, to fall into isolation, alienate themselves from society, break the rules of the game, and risk the loss of their peace and tranquility and security.

Let us now imagine that one day something in our greengrocer snaps and he stops putting up the slogans merely to ingratiate himself. He stops voting in elections he knows are a farce. He begins to say what he really thinks at political meetings. And he even finds the strength in himself to express solidarity with those whom his conscience commands him to support. In this revolt the greengrocer steps out of living within the lie. He rejects the ritual and breaks the rules of the game. He discovers once more his suppressed identity and dignity. He gives his freedom a concrete significance. His revolt is an attempt to live within the truth. . . .

The bill is not long in coming. He will be relieved of his post as manager of the shop and transferred to the warehouse. His pay will be reduced. His hopes for a holiday in Bulgaria will evaporate. His children's access to higher education will be threatened. His superiors will harass him and his fellow workers will wonder about him. Most of those who apply these sanctions, however, will not do so from any authentic inner conviction but simply under pressure from conditions, the same conditions that once pressured the greengrocer to display the official slogans. They will persecute the greengrocer either because it is expected of them, or to demonstrate their loyalty, or simply as part of the general panorama, to which belongs an awareness that this is how situations of this sort are dealt with, that this, in fact, is how things are always done, particularly if one is not to become suspect oneself. The executors, therefore, behave essentially like everyone else, to a greater or lesser degree: as components of the post-totalitarian system, as agents of its automatism, as petty instruments of the social auto-totality.

Thus the power structure, through the agency of those who carry out the sanctions, those anonymous components of the system, will spew the greengrocer from its mouth. The system, through its alienating presence in people, will punish him for his rebellion. It must do so because the logic of its automatism and self-defense dictate it. The greengrocer has not committed a simple, individual offense, isolated in its own uniqueness, but something incomparably more serious. By breaking the rules of the game, he has disrupted the game as such. He has exposed it as a mere game. He has shattered the world of appearances, the fundamental pillar of the system. He has upset the power structure by tearing apart what holds it together. He has demonstrated that living a lie is living a lie. He has broken through the exalted facade of the system and exposed the real, base foundations of power. He has said that the emperor is naked. And because the emperor is in fact naked, something extremely dangerous has happened: by his action, the greengrocer has addressed the world. He has enabled everyone to peer behind the curtain. He has shown everyone that it is possible to live within the truth. Living within the lie can constitute the system only if it is universal. The principle must embrace and permeate everything. There are no terms whatsoever on which it can co-exist with living within the truth, and therefore everyone who steps out of line denies it in principle and threatens it in its entirety. . . .

{9}**THE PROFOUND crisis of human identity brought on by living within a lie, a crisis which in turn makes such a life possible, certainly possesses a moral dimension as well; it appears, among other things, as a deep moral crisis in society. A person who has been seduced by the consumer value system, whose identity is dissolved in an amalgam of the accouterments of mass civilization, and who has no roots in the order of being, no sense of responsibility for anything higher than his own personal survival, is a demoralized person. The system depends on this demoralization, deepens it, is in fact a projection of it into society.**

**Living within the truth, as humanity's revolt against an enforced position, is, on the contrary, an attempt to regain control over one's own sense of responsibility. In other words, it is clearly a moral act, not only because one must pay so dearly for it, but principally because it is not self-serving: the risk may bring rewards in the form of a general amelioration in the situation, or it may not. In this regard, as I stated previously, it is an all-or-nothing gamble, and it is difficult to imagine a reasonable person embarking on such a course merely because he reckons that sacrifice today will bring rewards tomorrow, be it only in the form of general gratitude. (By the way, the representatives of power invariably come to terms with those who live within the truth by persistently ascribing utilitarian motivations to them-a lust for power or fame or wealth-and thus they try, at least, to implicate them in their own world, the world of general demoralization.)**. . .

{10}**UNDENIABLY, the most important political event in Czechoslovakia after the advent of the Husák leadership in 1969 was the appearance of Charter 77. The spiritual and intellectual climate surrounding its appearance, however, was not the product of any immediate political event. That climate was created by the trial of some young musicians associated with a rock group called "The Plastic People of the Universe." Their trial was not a confrontation of two differing political forces or conceptions, but two differing conceptions of life. On the one hand, there was the sterile puritanism of the post-totalitarian establishment and, on the other hand, unknown young people who wanted no more than to be able to live within the truth, to play the music they enjoyed, to sing songs that were relevant to their lives, and to live freely in dignity and partnership. These people had no past history of political activity. They were not highly motivated members of the opposition with political ambitions, nor were they former politicians expelled from the power structures. They had been given every opportunity to adapt to the status quo, to accept the principles of living within a lie and thus to enjoy life undisturbed by the authorities. Yet they decided on a different course. Despite this, or perhaps precisely because of it, their case had a very special impact on everyone who had not yet given up hope. Moreover, when the trial took place, a new mood had begun to surface after the years of waiting, of apathy and of skepticism toward various forms of resistance. People were "tired of being tired"; they were fed up with the stagnation, the inactivity, barely hanging on in the hope that things might improve after all. In some ways the trial was the final straw. Many groups of differing tendencies which until then had remained isolated from each other, reluctant to cooperate, or which were committed to forms of action that made cooperation difficult, were suddenly struck with the powerful realization that freedom is indivisible. Everyone understood that an attack on the Czech musical underground was an attack on a most elementary and important thing, something that in fact bound everyone together: it was an attack on the very notion of living within the truth, on the real aims of life. The freedom to play rock music was understood as a human freedom and thus as essentially the same as the freedom to engage in philosophical and political reflection, the freedom to write, the freedom to express and defend the various social and political interests of society. People were inspired to feel a genuine sense of solidarity with the young musicians and they came to realize that not standing up for the freedom of others, regardless of how remote their means of creativity or their attitude to life, meant surrendering one's own freedom. (There is no freedom without equality before the law, and there is no equality before the law without freedom; Charter 77 has given this ancient notion a new and characteristic dimension, which has immensely important implications for modern Czech history. What Sládeček, the author of the book *Sixty-eight*, in a brilliant analysis, calls the "principle of exclusion," lies at the root of all our present-day moral and political misery. This principle was born at the end of the Second World War in that strange collusion of democrats and communists and was subsequently developed further and further, right to the bitter end. For the first time in decades this principle has been overcome, by Charter 77: all those united in the Charter have, for the first time, become equal partners. Charter 77 is not merely a coalition of communists and noncommunists—that would be nothing historically new and, from the moral and political point of view, nothing revolutionary—but it is a community that is a priori open to anyone, and no one in it is a priori assigned an inferior position.) This was the climate, then, in which Charter 77 was created. Who could have foreseen that the prosecution of one or two obscure rock groups would have such far-reaching consequences?**

**I think that the origins of Charter 77 illustrate very well what I have already suggested above: that in the post-totalitarian system, the real background to the movements that gradually assume political significance does not usually consist of overtly political events or confrontations between different forces or concepts that are openly political. These movements for the most part originate elsewhere, in the far broader area of the "pre-political," where living within a lie confronts living within the truth, that is, where the demands of the post-totalitarian system conflict with the real aims of life. These real aims can naturally assume a great many forms. Sometimes they appear as the basic material or social interests of a group or an individual; at other times, they may appear as certain intellectual and spiritual interests; at still other times, they may be the most fundamental of existential demands, such as the simple longing of people to live their own lives in dignity. Such a conflict acquires a political character, then, not because of the elementary political nature of the aims demanding to be heard but simply because, given the complex system of manipulation on which the post-totalitarian system is founded and on which it is also dependent, every free human act or expression, every attempt to live within the truth, must necessarily appear as a threat to the system and, thus, as something which is political par excellence. Any eventual political articulation of the movements that grow out of this "pre-political" hinterland is secondary. It develops and matures as a result of a subsequent confrontation with the system, and not because it started off as a political program, project, or impulse**…

{17}The original and most important sphere of activity, one that predetermines all the others, is simply an attempt to create and support the independent life of society as an articulated expression of living within the truth. In other words, serving truth consistently, purposefully, and articulately, and organizing this service. This is only natural, after all: if living within the truth is an elementary starting point for every attempt made by people to oppose the alienating pressure of the system, if it is the only meaningful basis of any independent act of political import, and if, ultimately, it is also the most intrinsic existential source of the "dissident" attitude, then it is difficult to imagine that even manifest "dissent" could have any other basis than the service of truth, the truthful life, and the attempt to make room for the genuine aims of life. . . .

**All of this, however, is not the main reason why the "dissident" movements support the principle of legality. That reason lies deeper, in the innermost structure of the "dissident" attitude. This attitude is and must be fundamentally hostile toward the notion of violent change—simply because it places its faith in violence. (Generally, the "dissident" attitude can only accept violence as a necessary evil in extreme situations, when direct violence can only be met by violence and where remaining passive would in effect mean supporting violence: let us recall, for example, that the blindness of European pacifism was one of the factors that prepared the ground for the Second World War.) As I have already mentioned, "dissidents" tend to be skeptical about political thought based on the faith that profound social changes can only be achieved by bringing about (regardless of the method) changes in the system or in the government, and the belief that such changes-because they are considered "fundamental" justify the sacrifice of "less fundamental" things, in other words, human lives. Respect for a theoretical concept here outweighs respect for human life. Yet this is precisely what threatens to enslave humanity all over again.**

**"Dissident" movements, as I have tried to indicate, share exactly the opposite view. They understand systemic change as something superficial, something secondary, something that in itself can guarantee nothing. Thus an attitude that turns away from abstract political visions of the future toward concrete human beings and ways of defending them effectively in the here and now is quite naturally accompanied by an intensified antipathy to all forms of violence carried out in the name of a better future, and by a profound belief that a future secured by violence might actually be worse than what exists now; in other words, the future would be fatally stigmatized by the very means used to secure it. At the same time, this attitude is not to be mistaken for political conservatism or political moderation. The "dissident" movements do not shy away from the idea of violent political overthrow because the idea seems too radical, but on the contrary, because it does not seem radical enough. For them, the problem lies far too deep to be settled through mere systemic changes, either governmental or technological. Some people, faithful to the classical Marxist doctrines of the nineteenth century, understand our system as the hegemony of an exploiting class over an exploited class and, operating from the postulate that exploiters never surrender their power voluntarily, they see the only solution in a revolution to sweep away the exploiters. Naturally, they regard such things as the struggle for human rights as something hopelessly legalistic, illusory, opportunistic, and ultimately misleading because it makes the doubtful assumption that you can negotiate in good faith with your exploiters on the basis of a false legality. The problem is that they are unable to find anyone determined enough to carry out this revolution, with the result that they become bitter, skeptical, passive, and ultimately apathetic—in other words, they end up precisely where the system wants them to be. This is one example of how far one can be misled by mechanically applying, in post-totalitarian circumstances, ideological models from another world and another time…**

{20} **THE SPECIFIC nature of post-totalitarian conditions—with their absence of a normal political life and the fact that any far-reaching political change is utterly unforeseeable—has one positive aspect: it compels us to examine our situation in terms of its deeper coherences and to consider our future in the context of global, long-range prospects of the world of which we are a part. The fact that the most intrinsic and fundamental confrontation between human beings and the system takes place at a level incomparably more profound than that of traditional politics would seem, at the same time, to determine as well the direction such considerations will take.**

**Our attention, therefore, inevitably turns to the most essential matter: the crisis of contemporary technological society as a whole, the crisis that Heidegger describes as the ineptitude of humanity face to face with the planetary power of technology. Technology—that child of modern science, which in turn is a child of modern metaphysics—is out of humanity's control, has ceased to serve us, has enslaved us and compelled us to participate in the preparation of our own destruction. And humanity can find no way out: we have no idea and no faith, and even less do we have a political conception to help us bring things back under human control. We look on helplessly as that coldly functioning machine we have created inevitably engulfs us, tearing us away from our natural affiliations (for instance, from our habitat in the widest sense of that word, including our habitat in the biosphere) just as it removes us from the experience of Being and casts us into the world of "existences." This situation has already been described from many different angles and many individuals and social groups have sought, often painfully, to find ways out of it (for instance, through oriental thought or by forming communes). The only social, or rather political, attempt to do something about it that contains the necessary element of universality (responsibility to and for the whole) is the desperate and, given the turmoil the world is in, fading voice of the ecological movement, and even there the attempt is limited to a particular notion of how to use technology to oppose the dictatorship of technology.**

**"Only a God can save us now," Heidegger says, and he emphasizes the necessity of "a different way of thinking," that is, of a departure from what philosophy has been for centuries, and a radical change in the way in which humanity understands itself, the world, and its position in it. He knows no way out and all he can recommend is "preparing expectations."**

**Various thinkers and movements feel that this as yet unknown way out might be most generally characterized as a broad "existential revolution:' I share this view, and I also share the opinion that a solution cannot be sought in some technological sleight of hand, that is, in some external proposal for change, or in a revolution that is merely philosophical, merely social, merely technological, or even merely political. These are all areas where the consequences of an existential revolution can and must be felt; but their most intrinsic locus can only be human existence in the profoundest sense of the word. It is only from that basis that it can become a generally ethical—and, of course, ultimately a political—reconstitution of society.**

**What we call the consumer and industrial (or postindustrial) society, and Ortega y Gasset once understood as "the revolt of the masses," as well as the intellectual, moral, political, and social misery in the world today: all of this is perhaps merely an aspect of the deep crisis in which humanity, dragged helplessly along by the automatism of global technological civilization, finds itself.**

**The post-totalitarian system is only one aspect—a particularly drastic aspect and thus all the more revealing of its real origins—of this general inability of modern humanity to be the master of its own situation. The automatism of the post-totalitarian system is merely an extreme version of the global automatism of technological civilization. The human failure that it mirrors is only one variant of the general failure of modern humanity.**

**This planetary challenge to the position of human beings in the world is, of course, also taking place in the Western world, the only difference being the social and political forms it takes. Heidegger refers expressly to a crisis of democracy. There is no real evidence that Western democracy, that is, democracy of the traditional parliamentary type, can offer solutions that are any more profound. It may even be said that the more room there is in the Western democracies (compared to our world) for the genuine aims of life, the better the crisis is hidden from people and the more deeply do they become immersed in it.**

**It would appear that the traditional parliamentary democracies can offer no fundamental opposition to the automatism of technological civilization and the industrial-consumer society, for they, too, are being dragged helplessly along by it. People are manipulated in ways that are infinitely more subtle and refined than the brutal methods used in the post-totalitarian societies. But this static complex of rigid, conceptually sloppy, and politically pragmatic mass political parties run by professional apparatuses and releasing the citizen from all forms of concrete and personal responsibility; and those complex focuses of capital accumulation engaged in secret manipulations and expansion; the omnipresent dictatorship of consumption, production, advertising, commerce, consumer culture, and all that flood of information: all of it, so often analyzed and described, can only with great difficulty be imagined as the source of humanity's rediscovery of itself. In his June 1978 Harvard lecture, Solzhenitsyn describes the illusory nature of freedoms not based on personal responsibility and the chronic inability of the traditional democracies, as a result, to oppose violence and totalitarianism. In a democracy, human beings may enjoy many personal freedoms and securities that are unknown to us, but in the end they do them no good, for they too are ultimately victims of the same automatism, and are incapable of defending their concerns about their own identity or preventing their superficialization or transcending concerns about their own personal survival to become proud and responsible members of the polis, making a genuine contribution to the creation of its destiny.**

**Because all our prospects for a significant change for the better are very long range indeed, we are obliged to take note of this deep crisis of traditional democracy. Certainly, if conditions were to be created for democracy in some countries in the Soviet bloc (although this is becoming increasingly improbable), it might be an appropriate transitional solution that would help to restore the devastated sense of civic awareness, to renew democratic discussion, to allow for the crystallization of an elementary political plurality, an essential expression of the aims of life. But to cling to the notion of traditional parliamentary democracy as one's political ideal and to succumb to the illusion that only this tried and true form is capable of guaranteeing human beings enduring dignity and an independent role in society would, in my opinion, be at the very least shortsighted.**