**Case Study 209: Correctional Facility Health Care Setting**

Student’s Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Domain I**:   **Data Content, Structure, and Standards (Information Governance)**

**Subdomain I.C:   Data Governance**

**Competency:** Apply policies and procedures to ensure the accuracy and integrity of health data (BL3/M)

**Curricular Consideration:** Medical staff By-laws, and provider contracts with facilities

**Objectives:**

By the end of this course, students will be able to:

* Identify documentation requirements for facility records and the differences in how these are managed across the continuum of health care systems (BL3)
* Validate the role of the health care professional in maintaining compliance with laws, regulations, standards, policies and procedures in each health care setting (BL3)

**Description:** It is your first week as the health information supervisor of a reception facility in a prison system. During initial interviews with staff, you hear complaints of staffing shortages and poor relationships with other facilities in the system. There are so few trained medical staff that guards and some record technicians have taken on responsibility for documenting inmate histories. Members of the medical staff know they are performing duplicate lab tests, but the medical records are not available to verify previous tests and corroborate inmate complaints. You also learn that several inmates are filing lawsuits claiming deliberate indifference because a tuberculosis test was not performed on another inmate who infected his cellmates after being transferred from your facility. Since the medical records are stored by the discharging facility, they are not available to the reception center. You know by law that the staff must complete a health status within 24 hours and a physical exam within seven days, but most inmates are transferred after four days which does not provide enough time to transfer records.

A quick examination of the electronic information system indicates that it lacks relevant data on prescription drugs, dates of tests, HIV status and allergies, and therefore cannot compensate for a lack of physical medical records. The staff turnover rate at the facility is averages 40% (From: Peden, Ann H. *Comparative Health Information, 4th Edition*)

**Instructions**: Evaluate the issues presented from highest priority requiring a resolution as soon as possible to least important. In a 2-3 page report, fully discuss your findings. The report should include an analysis of consequences that can affect the facility, employees and prisoner/patients if no corrective measures are implemented, and your recommendations for lasting improvement. You also need to assess how these issues affect external standards, regulations and initiatives for health care delivery in this type of health care setting.

This must be doublespaced and typed in Times New Roman, size 12 font. The report must conform to standard formatting and be completed using proper English grammar, spelling and punctuation.

**Objectives:** Determine the regulatory issues related to documentation requirements in various health care settings (BL5); Analyze the impact of non-compliance on health care delivery in a correctional facility (BL4); Develop corrective actions for adherence to external standards, regulations and initiatives for health care delivery in various health care settings (BL4).

**Standards**: The approximate time for completion of this assignment is 3 hours. A minimum score of 70% is required.

**Assessment   Score:**

Evaluate and Prioritize Issues from Case Study \_\_\_\_\_\_\_/20

Analysis of impact and consequences of noncompliance \_\_\_\_\_\_\_/25

Assess effect on health care delivery in correctional facility \_\_\_\_\_\_\_/20

Develop corrective actions for adherence to external standards, etc. \_\_\_\_\_\_\_/25

Report formatted correctly, using standard English grammar, spelling,

 punctuation \_\_\_\_\_\_\_/10

**Total Points** **\_\_\_\_\_\_\_/100**

**Instructor:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_**